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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

DAVID YU CHANG, ET AL.

Serial No. 10/068,335

Filed: 7 FEBRUARY 2002

For: SECURING NON-EJB CORBA  
OBJECTS USING AN EJB SECURITY  
MECHANISM



§ Attorney Docket No. AUS920020981US1

§ Examiner: UNKNOWN

§ Group No. UNKNOWN

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. 1.97. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

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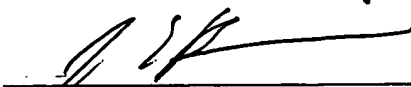
I hereby certify that this correspondence is being deposited with the United States Postal Service under 37 CFR §1.10 on the date indicated above and are addressed to Box Patent Applications, Assistant Commissioner of Patents, Washington, D. C. 20231 and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

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No fees are believed to be necessary. Please charge any fees that may be necessary for prosecution of the present application to **IBM Corporation Deposit Account No. 09-0447**.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'J. Boice', is written over a horizontal line.

James E. Boice, Esq.

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Substitute form 1449/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**Complete if Known**

Application Number	10/068,335
Filing Date	February 7, 2002
First Named Inventor	David Yu Chang, et al.
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet

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of

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Attorney Docket  
Number

AUS920010981US1

**U.S. PATENT DOCUMENTS**

Examiner Initials	IDS Doc #	U.S. Patent Document Number	Name of Patentee or Applicant	Date of Publication MM-DD-YYYY
	AA	5,727,145	Dan M. Nessett, et al.	03-10-1998
	AB	6,219,787 B1	Jason M. Brewer	04-17-2001
	AC	6,226,746 B1	Robert W. Scheifler	05-01-2001
	AD	6,298,478 B1	Martin P. Nally, et al.	10-02-2001

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	IDS Doc #	Office	Foreign Patent Document Number	Name of Patentee or Applicant	Date of Publication	English Translation

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	IDS Doc #	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	English Translation

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.